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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q62568

Michio MASUDA, et al.

Appln. No.: 09/752,520

Group Art Unit: 2143

Confirmation No.: 9986

Examiner: Thomas J. Mauro Jr.

Filed: January 3, 2001

For:

MULTI-LAYER CLASS IDENTIFYING COMMUNICATION APPARATUS WITH

PRIORITY CONTROL

<u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith along with a copy of an English translation of the corresponding Communication from a Foreign Patent Office.

- 1. METZ, CHRIS: IP QOS: Traveling in First Class on the Internet. IEEE Internet Computing, March/April 1999, pages 84-88.
- 2. NICHOLS, K.; JACOBSEN; V.; ZAHNG, L.: A Two-bit Differentiated Services Architecture for the Internet. Network Working Group, RFC2638, July 1999, pages 1-30.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/752,520

3. International Publication No. 98/28939, published July 2, 1998.

4. FLOYD, SALLY; SPEER, MICHAEL F.: Experimental Results for Class-Based

Queueing, November 1998, pages 1-13.

The present Information Disclosure Statement is being filed after either a Final Office

Action, Notice of Allowance, or an action that otherwise closes prosecution in the application

(whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting

herewith a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) and a Statement Under

37 C.F.R. § 1.97(e).

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 30, 2004

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Date: December 30, 2004

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Registration No. 43,355

Substitute for Form 1449 A & B/PTO

Sheet

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INFORMATION DESCLOSURES

(use as many sheets as necessary)

FL 3 0 5004 B	Application Number	09/752,520	
CLOSURJS/	Confirmation Number	9986 January 3, 2001	
SIM GARY	Filing Date		
	First Named Inventor	Michio MASUDA	
ecessary)	Art Unit	2143	
• •	Examiner Name	Thomas J. Mauro Jr.	
of 1	Attorney Docket Number	O62568	

Complete if Known

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number		Publication Date	
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US			
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FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document		Publication Date	Name of Patentee or			
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)		Applicant of Cited Document		
		WO	98/28939	A1	7/2/1998	NORTHERN TELECOM LIMITED		
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		NON PATENT LITERATURE DOCUMENTS			
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
		METZ, CHRIS: IP QOS: Traveling in First Class on the Internet. IEEE Internet Computing, March/April 1999, pages 84-88			
		NICHOLS, K.; JACOBSEN; V.; ZAHNG, L.: A Two-bit Differentiated Services Architecture for the Internet. Network Working Group, RFC2638, July 1999, pages 1-30			
		FLOYD, SALLY; SPEER, MICHAEL F.: Experimental Results for Class-Based Queueing. November 1998, pages 1-13			
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Examiner Signature		Date Considered	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.